

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

**SYSTEM AND RELATED METHODS FOR ACCESSING
MANAGEMENT FUNCTIONALITY THROUGH A COMMAND
LINE UTILITY**

Inventor(s):

Travis J. Muhlestein

Jeffrey P. Snover

John P. Thompson

Arieh A. Retik

ATTORNEY'S DOCKET NO. MS1-692US

0906207-062801

**SYSTEM AND RELATED METHODS FOR ACCESSING MANAGEMENT
FUNCTIONALITY THROUGH A COMMAND LINE UTILITY**

5 COPYRIGHT NOTICE/PERMISSION

A portion of the disclosure of this patent document contains material which is subject to copyright protection. The copyright owner has no objection to the facsimile reproduction by anyone of the patent document or the patent disclosure, as it appears in the Patent and Trademark Office patent file or records, but
10 otherwise reserves all copyright rights whatsoever.

TECHNICAL FIELD

This invention generally relates to the management of systems and devices in an enterprise environment, and, more particularly, to a system and methods for
15 accessing management functionality through a command line utility driven by persistent data represented using an object-oriented structure.

BACKGROUND

As computer systems and networks continue to increase in size and
20 complexity, so too does the challenge of managing them. A significant tool that assists network developers and administrators in managing computers across an enterprise is Windows[®] Management Instrumentation (WMI). WMI enables the remote management of Windows-based systems and applications by exposing management information through an object-oriented structure defined using WMI
25 schemas. WMI schemas are an implementation of the Common Information Model (CIM) as defined by the Desktop Management Task Force (DMTF).

The CIM is an industry standard method for describing management information that relies on inheritance and other object-oriented features for the reuse and standardization of object classes representing system devices. Expressing management information through standardized object classes permits companies to develop hardware, software, and systems that can all be managed in the same way. Thus, a single management application can manage all the diverse components in an enterprise environment.

A CIM schema, made up of a well-defined collection of class definitions, was developed which many companies have agreed to follow. This schema contains a top-level set of classes applicable to all management domains. The CIM schema makes significant use of inheritance to allow management applications to treat groups of similar objects in the same way. Companies can create extension schemas that are made up of classes representing managed objects that are technology-specific additions to the CIM schema. This involves exposing management functionality through classes derived from the CIM schema. These classes typically apply to specific environments such as UNIX or the Microsoft® Windows operating system. A particular implementation of the industry standard CIM for the Windows operating system is WMI.

WMI supports the management of systems and devices by exposing management information across an enterprise, such as hardware settings, performance information, driver configurations, BIOS information, application settings, event log information, and so on, and by providing a mechanism to query for information and configure settings on machines across the enterprise. WMI provides access to management information on a single network machine, or a large number of machines all at once. For example, without WMI, an

administrator wanting to enumerate descriptions for various groups of objects on a machine must locate and learn different application programming interfaces (APIs) that describe the specific methods for communicating with each group of objects. However, WMI eliminates the need to learn the specifics of every API set
5 provided by Windows, through gathering information from a diverse set of APIs and presenting this information in a simple, industry-standard management object model.

Therefore, many comprehensive and well-documented managed resources are available to those developers and administrators capable of utilizing the
10 benefits of WMI. However, WMI is generally designed for use by developers or administrators who are at least moderately proficient at programming in C/C++, Microsoft Visual Basic®, and scripts. For example, an administrator using WMI who wants to remotely discover system properties, such as the Last Boot Time, the NetBIOS Name, and the Service Pack Level, might write a script such as that
15 shown in **Fig. 1**. The script of **Fig. 1** uses WMI to walk through the ‘Win32_OperatingSystem’ object, allowing the discovery of these properties. Writing the script illustrated in **Fig. 1**, however, may be beyond the ability of many administrators, and the discovery of basic system information may therefore be difficult without the assistance of a more experienced programmer. In addition,
20 much of the power of WMI is realized through developers writing management applications that monitor, configure and control the management information made available through WMI. Therefore, the benefits of WMI are often difficult to attain for the common administrator who does not have the proper programming background, but who still has a need to manage system components/objects.

In addition to needing some level of programming ability, WMI users should be generally familiar with object-oriented modeling. Understanding object-oriented modeling is important to understanding how various objects within the CIM schema, and any extension schema, relate to each other. However, even
5 with a fair grasp of the concepts of object-oriented modeling, the extension schema that WMI exposes for Windows-based systems and applications is so large (having some 600 to 700 classes and 3000 to 4000 properties), that a non-specialist typically has difficulty understanding the schema in its raw form.

Many administrators have the need to accomplish specific tasks, such as
10 configuring a network card or recovering a database. Having to navigate an extensive schema to locate a particular object and invoke a particular method to accomplish such a task can be difficult. The amount of information exposed by WMI is simply too unwieldy to permit many administrators to efficiently manage the network. This is another reason that the benefits of WMI are often difficult to
15 attain for many administrators.

Accordingly, there exists a need to assist system developers and administrators in utilizing the wide variety of management features and vast amounts of management information that WMI provides.

SUMMARY

This invention concerns a command line utility that overlays Microsoft's Windows Management Instrumentation (WMI) technology to provide easier command options for administrators to effectively manage a system or enterprise.

25 The primary intent of the WMI command line utility is to facilitate management of

a Windows environment without requiring administrators to write scripts or navigate the vast schematized enterprise exposed through WMI. The WMI command line utility provides command options through class aliases that enable the mapping of queries, WMI operations, and other system inquiries to a common
5 term or friendly name that can be extended both in an alias file or at run time in the command line.

The WMI command line utility facilitates the management of an enterprise based on the underlying representative schema that WMI intrinsically exposes. The WMI schema represents the enterprise as an object-oriented model that
10 follows the industry standard CIM schema and includes Windows-based extensions to the CIM schema. In addition to operating against the object model WMI schema, the WMI command line utility is itself driven by an object model schema. A set of commands for the WMI command line utility is configured by an underlying object model command schema that defines a mapping between the
15 commands and the WMI schema. Generally, each command (i.e., class alias within the command schema) defines a target object within the WMI schema against which the command will operate. The command schema that drives the commands is tailorable and extensible based on the specific administrative requirements of a given enterprise. Therefore, where typical command line
20 applications are rigidly defined by an underlying source code that users cannot access, thus requiring a program rewrite in order to add or alter commands, the WMI command line utility is flexibly defined by the user-accessible, object-oriented command schema that is both tailorable and extensible to meet specific administrative demands of an organization and keep up with a changing target
25 WMI schema.

In a typical embodiment, the WMI command line utility is implemented on a management station with operations being performed through the utility against one or more target systems, that are assumed to include the basic WMI infrastructure. Therefore, the command line utility is a process on the management station, and the command schema (together with its class aliases or populated class instances) that drives the utility only needs to exist on the management station. The command schema will not have to be present on the target stations in order for the utility to function.

The command schema typically exists in a default namespace structure on the management station. A namespace is a logical, rather than physical, grouping of classes and class instances, and the default namespace is intended to reflect the organization of a company's operational environment. Therefore, the namespace structure can be expected to vary substantially from one organization to another.

In addition to providing a default namespace in which the command schema is located, the WMI command line utility enables administrators to organize class instances into role-oriented namespaces in such a way that the command aliases required by a specific administrative role will be found together. The namespaces provide paths to alias definitions that represent commands that are relevant to specific administrative roles. This enables administrators to focus on a specific set of commands relevant to their desired tasks, without having to navigate the entire command schema to locate desired command aliases. Therefore, in addition to being tailorable and extensible, the command schema can be organized in a manner which facilitates ease of use of the WMI command line utility.

BRIEF DESCRIPTION OF THE DRAWINGS

The same reference numbers are used throughout the drawings to reference
5 like components and features.

Fig. 1 illustrates a typical script written to discover system properties in a WMI environment.

Fig. 2 illustrates an exemplary system environment for providing access to WMI functionality through implementation of a WMI command line utility.

10 **Fig. 3** illustrates an exemplary computing environment that is suitable for implementing computer and network architectures such as the management and target stations of **Fig. 2**.

Fig. 4 illustrates an exemplary software/hardware architecture within the management station of **Fig. 2**.

15 **Fig. 5** illustrates the command schema that underlies a WMI command line utility and defines the utility commands.

Figs. 6A – 6E illustrate the basic structure of a WMI command line syntax for entering commands into a WMI command line.

Fig. 7 shows a flowchart illustrating the general method of operation
20 between the management station and a target station of **Fig. 2**.

Fig. 8 illustrates the general architecture of the WMI command line utility.

DETAILED DESCRIPTION

Exemplary Environment for Implementing a WMI Command Line Utility

Fig. 2 illustrates an exemplary system environment **200** for providing access to management functionality through implementation of a command line utility. A particular embodiment of environment **200** provides access to WMI functionality through the implementation of a WMI command line. In a typical system embodiment **200**, an administrator at a management station **202** manages systems on one or more target stations **204** in an enterprise over a network **206**. The command line utility is not limited to implementation in a particular network environment, but can be implemented through various networks **206** including the Internet, an intranet, a wide area network (WAN), a local area network (LAN), or any other communication link. In addition, the command line utility can operate locally, with the systems on the management station **202** itself as its target. In such a limited scenario, the network **206** is unnecessary altogether.

Moreover, although the command line utility is typically accessed at a local management station **202** as illustrated in the exemplary system **200** of **Fig. 2**, it is also remotely accessible through the network **206** using different connection options such as a telnet connection, a terminal services connection, a remote procedure call (RPC) connection, or some other secured connection type. Once a connection is made to the command line utility on the management station **202**, connections to other target stations **204** can be made through the utility itself.

In the exemplary system **200** of **Fig. 2**, a particular embodiment of the target stations **204** executes Windows-based operating systems and presents a WMI infrastructure. The command line utility ordinarily executes on the management station **202**, performing operations against the one or more target

stations 204. In general, the utility executes on the management station 202 to extend the WMI infrastructure, enabling WMI functionality at the command line. Thus, the utility provides a command line interface to WMI, exposing the WMI schema, WMI naming, and WMI queries.

Although the command line utility executes on the management station 202 in the exemplary system 200 of Fig. 2, it is not limited to operation on such a management station 202. The utility can operate on any Windows-based system having appropriate processing capability, and against any remotely or locally linked WMI environment, including a WMI environment present on the system on which the utility itself is executing, as indicated above.

Exemplary Computer Used to Implement Management and Target Stations

The management station 202 and one or more target stations 204 of Fig. 2, may be implemented as various computing devices, such as Windows NT® operating system servers, desktop personal computers, workstations, laptop computers, notebook computers, handheld PCs, and the like.

Fig. 3 illustrates an example of a computing environment 300 which is suitable for implementing, either fully or partially, computer and network architectures described herein, such as the management station 202 and the one or more target stations 204 of Fig. 2. The exemplary computing environment 300 is only one example of a computing system, and is not intended to suggest any limitation as to the scope of use or functionality of the network architectures. Neither should the computing environment 300 be interpreted as having any dependency or requirement relating to any one or combination of components illustrated in the exemplary computing environment 300.

The computer and network architectures can be implemented with numerous other general purpose or special purpose computing system environments or configurations. Examples of well known computing systems, environments, and/or configurations that may be suitable for use include, but are not limited to, personal computers, server computers, thin clients, thick clients, hand-held or laptop devices, multiprocessor systems, microprocessor-based systems, set top boxes, programmable consumer electronics, network PCs, minicomputers, mainframe computers, distributed computing environments that include any of the above systems or devices, and the like.

Caching user network access information may be described in the general context of computer-executable instructions, such as program modules, being executed by a computer. Generally, program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types. Caching network access information may also be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote computer storage media including memory storage devices.

The computing environment **300** includes a general-purpose computing system in the form of a computer **302**. The components of computer **302** can include, but are not limited to, one or more processors or processing units **304**, a system memory **306**, and a system bus **308** that couples various system components including the processor **304** to the system memory **306**.

The system bus **308** represents one or more of any of several types of bus structures, including a memory bus or memory controller, a peripheral bus, an accelerated graphics port, and a processor or local bus using any of a variety of bus architectures. By way of example, such architectures can include an Industry
5 Standard Architecture (ISA) bus, a Micro Channel Architecture (MCA) bus, an Enhanced ISA (EISA) bus, a Video Electronics Standards Association (VESA) local bus, and a Peripheral Component Interconnects (PCI) bus also known as a Mezzanine bus.

Computer system **302** typically includes a variety of computer readable
10 media. Such media can be any available media that is accessible by computer **302** and includes both volatile and non-volatile media, removable and non-removable media. The system memory **306** includes computer readable media in the form of volatile memory, such as random access memory (RAM) **310**, and/or non-volatile memory, such as read only memory (ROM) **312**. A basic input/output system
15 (BIOS) **314**, containing the basic routines that help to transfer information between elements within computer **302**, such as during start-up, is stored in ROM **312**. RAM **310** typically contains data and/or program modules that are immediately accessible to and/or presently operated on by the processing unit **304**.

Computer **302** can also include other removable/non-removable,
20 volatile/non-volatile computer storage media. By way of example, **Fig. 3** illustrates a hard disk drive **316** for reading from and writing to a non-removable, non-volatile magnetic media (not shown), a magnetic disk drive **318** for reading from and writing to a removable, non-volatile magnetic disk **320** (e.g., a “floppy disk”), and an optical disk drive **322** for reading from and/or writing to a
25 removable, non-volatile optical disk **324** such as a CD-ROM, DVD-ROM, or other

optical media. The hard disk drive **316**, magnetic disk drive **318**, and optical disk drive **322** are each connected to the system bus **308** by one or more data media interfaces **326**. Alternatively, the hard disk drive **316**, magnetic disk drive **318**, and optical disk drive **322** can be connected to the system bus **308** by a SCSI interface (not shown).

The disk drives and their associated computer-readable media provide non-volatile storage of computer readable instructions, data structures, program modules, and other data for computer **302**. Although the example illustrates a hard disk **316**, a removable magnetic disk **320**, and a removable optical disk **324**, it is to be appreciated that other types of computer readable media which can store data that is accessible by a computer, such as magnetic cassettes or other magnetic storage devices, flash memory cards, CD-ROM, digital versatile disks (DVD) or other optical storage, random access memories (RAM), read only memories (ROM), electrically erasable programmable read-only memory (EEPROM), and the like, can also be utilized to implement the exemplary computing system and environment.

Any number of program modules can be stored on the hard disk **316**, magnetic disk **320**, optical disk **324**, ROM **312**, and/or RAM **310**, including by way of example, an operating system **326**, one or more application programs **328**, other program modules **330**, and program data **332**. Each of such operating system **326**, one or more application programs **328**, other program modules **330**, and program data **332** (or some combination thereof) may include an embodiment of a caching scheme for user network access information.

Computer system **302** can include a variety of computer readable media identified as communication media. Communication media typically embodies

computer readable instructions, data structures, program modules, or other data in a modulated data signal such as a carrier wave or other transport mechanism and includes any information delivery media. The term “modulated data signal” means a signal that has one or more of its characteristics set or changed in such a manner as to encode information in the signal. By way of example, and not limitation, communication media includes wired media such as a wired network or direct-wired connection, and wireless media such as acoustic, RF, infrared, and other wireless media. Combinations of any of the above are also included within the scope of computer readable media.

A user can enter commands and information into computer system **302** via input devices such as a keyboard **334** and a pointing device **336** (e.g., a “mouse”). Other input devices **338** (not shown specifically) may include a microphone, joystick, game pad, satellite dish, serial port, scanner, and/or the like. These and other input devices are connected to the processing unit **304** via input/output interfaces **340** that are coupled to the system bus **308**, but may be connected by other interface and bus structures, such as a parallel port, game port, or a universal serial bus (USB).

A monitor **342** or other type of display device can also be connected to the system bus **308** via an interface, such as a video adapter **344**. In addition to the monitor **342**, other output peripheral devices can include components such as speakers (not shown) and a printer **346** that can be connected to computer **302** via the input/output interfaces **340**.

Computer **302** can operate in a networked environment using logical connections to one or more remote computers, such as a remote computing device **348**. By way of example, the remote computing device **348** can be a personal

computer, portable computer, a server, a router, a network computer, a peer device or other common network node, and the like. The remote computing device **348** is illustrated as a portable computer that can include many or all of the elements and features described herein relative to computer system **302**.

5 Logical connections between computer **302** and the remote computer **348** are depicted as a local area network (LAN) **350** and a general wide area network (WAN) **352**. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets, and the Internet. When implemented in a LAN networking environment, the computer **302** is connected to
10 a local network **350** via a network interface or adapter **354**. When implemented in a WAN networking environment, the computer **302** typically includes a modem **356** or other means for establishing communications over the wide network **352**. The modem **356**, which can be internal or external to computer **302**, can be connected to the system bus **308** via the input/output interfaces **340** or other
15 appropriate mechanisms. It is to be appreciated that the illustrated network connections are exemplary and that other means of establishing communication link(s) between the computers **302** and **348** can be employed.

In a networked environment, such as that illustrated with computing environment **300**, program modules depicted relative to the computer **302**, or
20 portions thereof, may be stored in a remote memory storage device. By way of example, remote application programs **358** reside on a memory device of remote computer **348**. For purposes of illustration, application programs and other executable program components, such as the operating system, are illustrated herein as discrete blocks, although it is recognized that such programs and

components reside at various times in different storage components of the computer system **302**, and are executed by the data processor(s) of the computer.

Exemplary System Architecture to Implement a WMI Command Line Utility

Fig. 4 illustrates an exemplary software/hardware architecture within the management station **202** that is part of the exemplary system environment **200** of **Fig. 2**. This architecture operates to provide access to WMI functionality exposed through the WMI infrastructure **400** present on a target station **204**. The architecture includes a WMI command line utility module **402** and a command schema **404** on the management station **202**.

The WMI infrastructure **400** is known, and is presumed to be present on the target system **204**. In general, the WMI infrastructure **400** provides uniform access to management information on Windows-based systems by representing or exposing systems, applications, networks, and other managed components using the Common Information Model (CIM), designed by the Distributed Management Task Force (DMTF). CIM can model anything in the managed environment regardless of data source location. In addition to data modeling, the WMI infrastructure **400** exposes a powerful set of base services that include query-based information retrieval and event notification. An object model application programming interface (API) provides access to these services and management data, and until now, that access generally required administrators and developers to develop script and other WMI applications.

The WMI command line utility module **402**, however, permits access to the WMI functionality without the need for writing such applications. The WMI command line utility module **402** provides a command line interface permitting

the entry of command and control functions that are based on and operate against the target WMI schema exposed through the WMI infrastructure **400** which represents the systems, applications, networks, and other managed components of a target system **204**.

5 The WMI schema exposed by the WMI infrastructure **400** is made visible to the user of the WMI command line utility at a management station **202** through an intermediate alias object. An alias object is effectively a command that is executed on the command line utility in order to capture the features of a target WMI class and to facilitate a specific administrative task, such as managing a
10 system process, configuring a netcard, or discovering CPU utilization. Alias objects are instances of well-defined, command-related classes that are organized into a command schema **404**, as illustrated in **Fig. 5**. The command schema **404** drives the WMI command line utility and defines the commands used in the utility. That is, the command line utility uses the class definitions or aliases in the
15 command schema **404** to interpret the command information entered by a user and apply that command interpretation against the target WMI schema.

 The WMI command line utility and its underlying command schema **404** also permit the organization of commands by roles, so that administrators needing to perform specific administrative tasks are able to focus on a specific set of
20 commands without being faced with the complete set of commands that make up the entire command schema **404**. The command schema **404** is logically located in a default namespace structure on the management station **202**, although it can exist in any namespace on any machine and is not limited to the management station **202**. The namespace structure provides a logical grouping of classes and
25 class instances that is intended to reflect the organization of a company's

operational environment. Since the operational environment can differ significantly from one company to the next, it is expected that the corresponding namespace structures will also vary substantially from one organization to another. Thus, a given organization is able to organize commands based on suitable administrative roles by creating logical namespace in which relevant alias objects or command class instances can be found.

An example of a default namespace structure in which the command schema 404 might be located is shown below in Table 1. The first column of Table 1 indicates the path a user follows to find the namespace in which command alias definitions relevant to a particular administrative role can be found. The namespace generally indicates by its name, what types of role-oriented commands will be located in the namespace. The second column of table 1 indicates more clearly what tasks these commands help the user accomplish.

Table 1: Default Namespace Structure

<u>Namespace Path</u>	<u>Task</u>
\\root\\cli	
Network	- manage the network subsystem namespace
Apps	- manage applications namespace
System	- manage operating system namespace
Processor	- manage the network subsystem <alias>
Bios	- manage BIOS functions
Disks	- manage storage
LogDrives	- manage logical drive partitions
Process	- manage operating processes
Service	- manage system services
DCOM	- manage DCOM Configuration
Scheduling	- manage jobs
Devices	- manage devices namespace
Printer	-manage printers
Users	- manage users namespace
Accounts	- manage users accounts
Database	- manage databases namespace
Transaction	- manage system processes
Start	- start a transaction
Stop	- stop a transaction

In addition to being able to organize commands into roles, the schema-based WMI command line utility permits a user to tailor commands to suit particular network needs. For example, properties of an alias object (i.e., a command) can be arranged in named formats that include property values that can be formatted for display according to a specific presentation strategy. Thus, an alias might have a BRIEF format that displays only the property values that are essential for the identification of the target WMI schema objects visible through the alias.

The schema-based WMI command line utility is also extensible and condensable. New commands can be generated based on specific network requirements, or in order to accommodate a progressing target WMI schema. Generating new commands through the creation of additional aliases or command class instances, or eliminating commands from a standard set of commands, permits organizations to establish specific command sets aimed at various network administration roles within their organization. This improves upon the conventional command line approach, where a program is written which defines the command line commands. Under the conventional approach, the source code is inaccessible to the user, and generating a new command for the command line requires writing a whole new program.

Finally, although the command schema **404** is described herein as being embodied in a command line interface utility, the command schema **404** itself is not limited to supporting a command line interface utility. The command schema **404** is designed to operate as a mapping between the target WMI schema and some set of commands. The embodiment of those commands can vary and does not affect the mapping function of the command schema **404**. Therefore, the WMI

command line utility described herein is simply a particular manifestation of this mapping, and other embodiments are contemplated. Such embodiments include but are not limited to graphical user interfaces, free form languages, and other application interfaces, all driven by an underlying command schema **404**.

5

Command Line Utility Schema

Referring now to **Fig. 5**, the command schema **404** which underlies the WMI command line utility and defines the utility commands is illustrated. Although a particular command schema **404** is described, additional schemas
10 having differing class arrangements that are designed to provide a mapping between command functions and the target WMI schema are contemplated.

In general, the command schema **404** includes a collection of classes which forms a template that is used to represent information about command aliases. The template can be filled-in during a command line session to define specific
15 command aliases or class instances. An example command alias is fully described in the MOF (managed object format) file listed in **Appendix A**. The principal class in the command schema **404** is the CliAlias (i.e., command line interface alias) class **500**. Each instance of the CliAlias represents a single alias or command. As indicated above, aliases are organized into role oriented
20 namespaces so that aliases required by a specific operational role will all be found together. As an example, all the printer management aliases will be found in the “\\.\root\cli\printer” namespace.

Each alias or instance of the CliAlias class **500** has a FriendlyName, a list of Formats, a list of Verbs, a Description, a Target, a Connection, a list of
25 Qualifiers, and a Where property. The “FriendlyName” string in the CliAlias class

500 provides a unique name for an alias in the context of the namespace in which that alias is defined. Since the terms CLASS, WHERE, PATH, and EXIT, appear in the same location as the alias names within the WMI command line syntax (described below), they cannot be used as alias names. In general, these terms are
5 command line expressions indicating paths, commands, and queries as discussed below regarding the WMI command line syntax.

The “Formats” attribute within the CliAlias class **500** provides a list of formats for an alias. Each alias therefore has a list of formats which provide various display strategies for the alias. Examples of formats include FULL,
10 BRIEF, INSTANCE, or a user-defined qualifier. In addition, each format includes the list of properties that are to be displayed using the given format. Thus, the FULL format would display the full set of properties available for the alias, while the BRIEF format would display a lesser set of those properties.

The “Verbs” attribute within the CliAlias class **500** provides a list of verbs
15 for an alias. The verbs in the list each represent a particular behavior that the alias understands or that is supported by the alias. For example, a “process” alias, representing all the processes running on a target system **204**, may support a verb called “kill”, which would permit a user to kill a process running on a target system **204**.

20 The “Target” string within the CliAlias class **500** defines the object on the target system **204** against which the alias will operate. The Target string may be a query in Windows® Management Instrumentation Query Language (WQL) or a valid path (which may be just a class name), both of which will define the instance or set of instances on the target system **204** to which the alias applies. Since an
25 alias exists by virtue of the target object on which it operates, the target object

essentially defines the alias' relationship to the command schema **404**. That is, the logical location of an alias in the namespace structure representing the command schema **404**, is determined based on the administrative role to which the target object applies.

5 The "Where" string within the CliAlias class **500** defines a from/where WQL query clause. The query string may contain substitution parameters indicating where values may be substituted into the query string. The parameter values are taken from a token value immediately following the alias name in the command line syntax (described below). An example command using the
10 "process" alias briefly described above would be, "wmic Kill Process 154 From Win32_process Where ProcessId = #ProcId".

Additional attributes within the CliAlias class **500** include the "Connection" object which defines parameters required when connecting to the WMI infrastructure **400**, the "Description" object which provides a description of
15 the alias, and the "Qualifiers" object which provides qualifiers applicable to the alias which influence the handling of the target system **204** object on which the alias will operate.

Referring again to **Fig. 5**, the CliFormat class **502** is a subclass of the CliAlias class **500** within the command schema **404**. Lines between the classes
20 indicate inheritance through a subclass/superclass relation, and the arrow pointing to the CliAlias class **500** indicates the CliAlias class **500** is the superclass.

An instance of the CliFormat class **502** represents a list of properties that will be returned on a query processed through an alias (i.e., a CliAlias class **500** instance). An instance of the CliFormat class **502** inherits a format as its "Name"
25 string from the list of formats defined in an alias. Thus, the Name string for a

CliFormat class **502** instance would be one of the system-defined formats, FULL, BRIEF, or INSTANCE, or it may be an arbitrary user-defined format. An instance of the CliFormat class **502** also inherits from the alias, the list of properties to be displayed for the given format type. A “Format” string within a CliFormat class

5 **502** instance provides the location of an XSL (extensible style sheet language) file that can be used to format the display for the list of properties being returned to the user through the alias. In a typical embodiment, the WMI command line utility returns information as XML (extensible markup language) documents which can then be processed using XSL to render reports for the user in multiple formats as

10 determined by a particular XSL file located through the Format string. Thus, any display strategies to be used in displaying properties returned through an alias, such as truncation lengths or numeric values, will be defined in the XSL style sheet located by the Format string. Examples of XSL files representing style sheets used in formatting displays are located in **Appendix B**.

15 The CliProperty class **504** is used by the CliFormat class **502** within the command schema **404**. An instance of the CliProperty class **504** describes a value for a property that is to be returned for a given format type (i.e., FULL, BRIEF, INSTANCE, or user-defined format). Each property, or instance of the CliProperty class **504**, has a “Name”, a “Description”, a “Derivation”, and

20 “Qualifiers”. The Name string provides the name of the property to be displayed. The Description provides a description of the property. The Derivation string provides an expression that will be used by the WMI command line utility to derive the actual value for the property to be displayed. The Derivation is either the name of a property within the target system **204** object (from the target WMI

25 schema) defined by the alias, a constant value (i.e., a numeric, a string, or a date

time or Boolean literal), or a command line command such as “Get”, which returns a value to be used for the property. Qualifiers contains any qualifier applicable to the alias which influences the handling of the target system **204** object on which the alias will operate.

5 Another subclass of the CliAlias class **500** is the CliVerb class **506**. An instance of the CliVerb class **506** defines the verb or behavior associated with an alias (i.e., a CliAlias class **500** instance). An instance of the CliVerb class **506** has a “Name” string. The Name string defines the actual function to be invoked when the verb is used in the alias command. The function may be from a fixed list of
10 system-defined standard functions including CALL, SET, LIST, GET, and ASSOCIATE, or it may be a verb that is implemented by the invocation of a method on the target system **204** object defined by the alias or an invocation of a command line utility. Each instance of the CliVerb class **506** has a “Standard” Boolean which indicates whether the verb is a standard verb or a method invoked
15 on the target object. In addition, each instance provides a “Derivation” string which represents the name of the standard verb or the name of the method from the target object on which the verb is based or the text required for the invocation of a command line utility.

20 Additional attributes within an instance of the CliVerb class **506** include a “Description” string which provides a description of what the verb does, a “Usage” object which provides a description of how the verb should be called, a “Qualifiers” object which provides qualifiers applicable to the alias which influence the handling of the target system **204** object on which the alias will operate, and a “Parameters” object which describes the parameters accepted by the

verb. Each verb has a list of parameters (which may be empty) that is accepted by that verb.

The CliParameter class **508** is used by the CliVerb class **506** within the command schema **404**. An instance of the CliParameter class **508** represents a parameter accepted by a verb. Each instance of the CliParameter class **508** defines the name of the parameter, the default value for the parameter, the parameter type, and whether or not the parameter is optional. A “ParameterID” string provides the formal name for the parameter. A “Type” string defines the format expected for any value supplied for the parameter. A “Default” string defines a default value used for the parameter if the user does not supply any actual value, and an “Optional” Boolean indicates whether the parameter may be omitted.

Additional attributes within an instance of the CliParameter class **508** include a “Description” string which provides a description of the parameter, and a “Qualifiers” object which provides qualifiers applicable to the alias which influence the handling of the target system **204** object on which the alias will operate.

Another type used by the CliAlias class **500** is the CliConnection class **510**. An instance of the CliConnection class **510** defines parameters to be used with an alias (i.e., a CliAlias class **500** instance) when establishing a connection to the target system **204** object against which the alias will operate. Connections may vary from alias to alias, and not all of the connection parameters of the CliConnection class **510** are always specified. Any parameters that are not specified may be provided using global switches (described below under command line syntax). Otherwise, values for parameters that are not specified

will default to the current value for the current command utility session. If no current value is available, the user will be prompted with an error.

An optional “Server” string is included in the CliConnection class 510 which specifies the computer name when accessing a remote computer using DCOM (Distributed Component Object Model). If this parameter is not provided, the default is the local computer. A “Namespace” string specifies the namespace on the target system 204 to which a user logs on. The default namespace is that which has been configured as the default namespace for WMI scripting. A “User” string specifies the user name to use while attempting to connect to a target system

204. This string can be in the form of a user name or Domain\\Username. Its default value is the currently logged-on user name. The “User” parameter is only used with connections to remote WMI servers. A connection attempt that specifies a User for a local WMI connection will fail. A “Password” string specifies the password to use when attempting to connect to a target system 204.

Its default value is the password of the currently logged-on user. The “Password” parameter is only used with connections to remote WMI servers. A connection attempt that specifies a Password for a local WMI connection will fail. A “Locale” string specifies the localization (language) code. The default localization code is the current locale. Otherwise, this parameter must be a string that indicates

the desired locales in which information should be retrieved. An “Authority” string determines the authentication to be used when establishing a connection to a target system 204. Kerberos authentication is used if the parameter begins with the string “kerberos”. If the string parameter begins with anything other than “kerberos”, then NTLM authentication is used and an NTLM domain name must be provided in the string. If the parameter is left blank, the operating system

negotiates with DCOM to determine whether NTLM or Kerberos authentication is used. The “Authority” parameter is only used with connections to remote WMI servers. A connection attempt that specifies an Authority for a local WMI connection will fail.

5 An instance of the CliQualifiers class **512** represents qualifiers applicable to the alias which influence the handling of the target system **204** object on which the alias will operate. The qualifiers are drawn from the qualifiers on the underlying target system **204** object. Examples of qualifiers include ValueMap used as a constraint on the values that may be used with a verb such as the SET verb, and
10 MaxLen used as a constraint on the number of characters that can be provided using the SET verb. The “Name” string attribute of the CliQualifiers class **512** provides the name of the qualifier, and it must be unique within the set of qualifiers for the object to which the qualifier applies. The “QualifierValue” string provides the value for the qualifier. There may be one or more values
15 depending on whether the qualifier is localized or not. The “CodePage” value represents the code page for which the qualifier is localized.

 The command schema **404** includes a CliSeeAlso association **516**, which associates an alias with other aliases that may be of related interest to the WMI command line user. A “Description” attribute in the CliSeeAlso association **516**
20 describes a relationship between an alias and related aliases that may be used as a part of the same role.

WMI Command Line Utility Syntax

Fig. 6A illustrates the basic structure of the WMI commands **600** entered
25 into the WMI command line. The formal notation describing the syntax of the

WMI command line utility is listed in the Command Line BNF (Backus Naur Form) provided in **Appendix C**. Most authors writing about a new programming language use BNF to specify the syntax rules of the language.

The structure of the command line syntax includes a “wmic” as the WMI command line prompt **602**, followed by a global switches category **604** which generally represents parameters set by a user to define the current operational state of the command, followed last by the command category **606**. The “wmic” command prompt **602** permits a user to enter all the arguments in the command line necessary to complete a command, enter an incomplete portion of the command and get help on completing the command, or enter just the command name itself in order to go into an interactive mode. The interactive mode allows the user to enter multiple commands while sharing the same WMI connection, and it is terminated with an “exit” command.

The global switches category **604** is further illustrated in **Fig. 6B**. Global switches **604** permit a user to parameterize commands by specifying such things as the namespace locations of command definitions (i.e., aliases) and target system **204** objects, user impersonation and authentication levels, user and password identifications, and the like. Several of the global switches **604** relate to locations where command aliases and target objects to be used in a command line session will be found, as well as the machines on which these objects exist.

The “/NAMESPACE” **607** global switch permits a user to enter the “<namespace>” path **608** for the target namespace (i.e., the target system **204** object) that the alias command is to operate against. Namespaces are always relative to the current namespace of the command line utility session unless the namespace path **608** being entered begins with “\\”. For example, if the

namespace for the current session is “\\.\root\cimv2”, and the global switch “/NAMESPACE applications” is entered, this global switch entry is equivalent to “/NAMESPACE \\.\root\cimv2\applications”. Similarly, the “/ROLE” 610 global switch permits a user to enter the “<namespace>” path 608 for the role which contains the alias command definitions to be available for the command line utility session. As indicated above in the exemplary default namespace structure of Table 1, the default namespace for a “/ROLE” 610 global switch is “\\root\cli”. The “/NODE” 612 global switch permits a user to specify which server or machine 614 (i.e., target station 204 of Fig. 2) the alias will operate against. The “/NODE” 612 switch can take more than one server name as a parameter, and subsequent commands will be applied to all the servers in the list of names.

The “/IMPLEVEL” 616 global switch permits a user to set the level that the command line utility is to impersonate when connecting to a target station 204. Whenever a connection is established, user credentials are passed to the WMI infrastructure 400 present on a target station 204. To ensure a user does not perform any actions that are not allowed, the WMI infrastructure 400 impersonates the user credentials before attempting to fulfill any request. Table 2 lists the impersonation levels and the associated “ilevel” 618 values a user would enter. The default value is 3.

Table 2: Impersonation Levels

<u>Impersonation Level</u>	<u>“ilevel” Value</u>
Anonymous	1
Identify	2
Impersonate	3
Delegate	4

The “/AUTHLEVEL” **620** global switch permits a user to set the level that the command line is to authenticate when calls are made against the WMI infrastructure **400**. Authentication is a process of verifying that a user with a unique name is the actual user that the name implies. Table 3 lists the authentication levels and their meanings, along with the associated “alevel” **622** values a user would enter.

Table 3: Authentication Levels

<u>Authentication Level</u>	<u>“alevel” Value</u>	<u>Meaning</u>
Default	0	- WMI uses the default Windows authentication setting.
None	1	- Uses no authentication.
Connect	2	- Authenticates the credentials of the client (user) only when the client establishes a relationship with the target server.
Call	3	- Authenticates only at the beginning of each call when the server receives the request.
Pkt	4	- Authenticates that all data received is from the expected client.
PktIntegrity	5	- Authenticates and verifies that none of the data transferred between client and server has been modified.
PktPrivacy	6	- Authenticates all previous impersonation levels and encrypts the argument value of each remote procedure call.

The “/LOCALE” **624** global switch permits a user to specify which language “identifier” **626** the command line utility should use. The parameter for the “/LOCALE” **624** switch is the hexadecimal form of the locale “identifier” **626**.

For example, to specify US English as the language, the parameter is 409, and to specify Finnish as the language, the parameter is 40b.

Additional global switches 604 include the “/PRIVILEGES” 628 switch which allows the user to enable or disable specific Windows NT® and Windows 2000 privileges using a “property” 630 parameter, the “/TRACE” 632 global switch “option” 634 which permits a user to have debug information output to stderr during the processing of requests, and the “/RECORD” 636 global switch which allows the user to enter a “file path” 638 where all commands and output entered in the WMI command line will be logged. An “/INTERACTIVE” 640 global switch makes the whole command line session interactive as discussed above. The “/USER” 642 switch permits entry of a “user id” 644 for the command line session, and the “/PASSWORD” 646 switch provides the “password” 648 to be used in logging on to the command line session.

Finally, the “/?” 650 global switch is the syntax for accessing the WMI help commands. Examples for using the WMI help command “/?” 650 switch are shown below in Table 4.

Table 4: Displaying WMI Help Commands

Syntax	Meaning
<code>/?</code>	- Displays WMI commands and parameter usage(s) and help options listed in this table.
<code>/? :Brief</code>	- Determines the help display. In the case of an alias, BRIEF displays description text for the alias plus the verbs and formats available for the alias. In the case of a verb, BRIEF provides the usage text.
<code>/? :Full</code>	- Determines the help display. In the case of an alias, FULL provides the description for the alias together with the descriptions for all its formats and verbs.
<code>\$wmic /?</code>	- Outputs all aliased objects or methods to the screen with a brief description, limited to 48 characters, followed by “...” (outputs the same information regardless of brief or full specification).

The last category in a WMI command line entry is the command category **606**, and its syntax is illustrated in **Fig. 6C**. The entry for the command category **606** is either an alias **652**, defined by the underlying CliAlias class **500** instance associated with the alias name (**Fig. 5**), or a standard command. The standard commands include CLASS, PATH, WHERE, and EXIT. The alias **652** name will be unique in the context of the namespace in which the alias is defined. The standard command names, CLASS, PATH, WHERE, and EXIT cannot be used for the alias **652** name because they appear in the same location in the syntax, as illustrated in **Fig. 6C**.

A user can escape to the full WMI schema using the CLASS **654** command and by providing a class path expression. Similarly, the PATH **656** command allows a user to escape to the full WMI object paths by providing a path expression. Full WMI WQL query capabilities are also available through the WHERE **658** command.

The syntax for the verb clause category **664** within the command category **606** is further illustrated in **Fig. 6D**. The verb entry **666** may be from a fixed list of system-defined standard verbs **668** including CALL, SET, LIST, GET, and ASSOCIATE, or it may be a verb that is implemented by the invocation of a method on the target system **204** object defined by the alias.

The syntax for the standard verb category **668** within the verb clause **664** is further illustrated in **Fig. 6E**. The GET verb **672** displays properties for the named properties based on whatever switches are defined for the get switches category. For example, the /VALUE switch will format the output using the following style sheet:

\$WINDIR\system32\wbem\WmiCliValueFormat.xsl

If the /ALL switch is used, the output is formatted using the following style sheet:

\$WINDIR\system32\wbem\WmiCliTableFormat.xsl

5 Similarly, the LIST verb **674** shows data based on a specified format which comes from the list, BRIEF, INSTANCE, SYSTEM, STATUS, FULL, or a user defined format. These formats returns varying sets of properties for display. The other standard verbs include CALL **676**, which calls on specified methods and execution operations, SET **678**, which sets user-specified values for specified
10 properties, and ASSOC **680**, which returns the result of a query.

Examples of WMI command line processing using the various syntax described herein above are listed in **Appendix D**.

Exemplary Method for Implementing the WMI Command Line Utility

15 An example method of operation for an exemplary WMI command line utility and underlying command schema will now be presented with primary reference to **Figs. 7** and **8**. **Fig. 7** shows a flowchart illustrating the general method of operation between the management station **202** and a target station **204**, while **Fig. 8** illustrates the general architecture **800** of the WMI command line
20 utility. The method will be described with parallel reference to the flowchart of **Fig. 7** and the utility architecture **800** of **Fig. 8**.

The method begins at operation **700** with a command being received through a stdin interface by the WMI command line utility. As illustrated by the utility architecture **800** of **Fig. 8**, the command **802** is entered into a command line
25 and actually received by an executable file **804** within the WMI command line

utility. The utility, through the executable file **804**, then performs a series of operations on the command.

At operation **702**, the definition for an alias named in the command is fetched from the current operating namespace location. This is illustrated in the utility architecture **800** of **Fig. 8** by the alias definition **806** following the curved arrow from the “\\\\mgmtsystem\\root\\cli” **808** namespace back to the executable file **804**. As described above, the user switches between namespaces through global switches **604** made using the proper command line syntax. The default namespace on the management station **202** is the “\\\\mgmtsystem\\root\\cli” **808** namespace. In **Fig. 8**, the system **810** represents both the management station **202** and the target station **204** and indicates that the WMI command line utility may operate against a WMI schema located on either station. In general however, the “\\\\mgmtsystem\\root\\cli” **808** namespace will be located on the management station **202** and the “\\\\trgetsystem\\root\\cimv2” **812** namespace will be located on the target station **204**.

Continuing with operation **704** (**Fig. 7**), the utility then interprets the command based on the alias definition **806** and additional current environmental settings which have been set by the user, such as other global switch **604** settings. At operation **706**, the utility executes the command as a series of WMI API calls against a target namespace, such as “\\\\trgetsystem\\root\\cimv2” **812** of **Fig. 8**. Although the target namespace is illustrated as “\\\\trgetsystem\\root\\cimv2” **812**, any namespace may be used as a target so long as that namespace is consistent with the alias being processed. Operation **706** is illustrated in the WMI command line utility architecture **800** by the WMI API Calls **814** box following the curved

arrow from the executable file 804 to the “\\trgetsystem\\root\\cimv2” 812 namespace.

The method continues at operation 708, with the WMI infrastructure 400 on the target station 204 performing the called out WMI operations against the target object or namespace. The WMI data retrieved through the API calls is then transformed at operation 710 into XML information that is readable by the WMI command line utility. The WMI data is then returned in XML to the command line utility at operation 712. The operations 710 and 712, transforming the WMI data into XML and returning it to the WMI command line utility are illustrated in the utility architecture 800 of Fig. 8 by the XML 816 box following the curved arrow from the “\\trgetsystem\\root\\cimv2” 812 namespace back to the executable file 804.

The WMI command line utility receives the WMI XML data at operation 714 and applies an XSL style sheet to format the text for display to the user at operation 716. The XSL style sheet used is based on the XSL file designated through the format specifications within the alias. At operation 718, the WMI information is presented to the user through the stdout interface. The operations 716 and 718, applying an XSL style sheet to format the display and presenting the WMI information for display to the user are illustrated in the utility architecture 800 of Fig. 8 by the XSL 818 box following the curved arrow from the executable file 804 to the response box 820.

Although the description above uses language that is specific to structural features and/or methodological acts, it is to be understood that the invention defined in the appended claims is not limited to the specific features or acts

described. Rather, the specific features and acts are disclosed as exemplary forms of implementing the invention.